

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/857,143

INTERNATIONAL APPLICATION NO.  
PCT/FR99/02947

INTERNATIONAL FILING DATE  
November 29, 1999

PRIORITY DATE CLAIMED  
December 1, 1998

TITLE OF INVENTION  
UNCOATED PAPER COMPRISING A PSUEDO-WATERMARK AND METHOD FOR MAKING SAME

APPLICANTS FOR DO/EO/US  
Michel GOGUELIN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☒ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/857,143	INTERNATIONAL APPLICATION NO. PCT/FR99/02947	ATTORNEY'S DOCKET NUMBER 109575
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <div style="margin-left: 20px;"> <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>             Search Report has been prepared by the EPO or JPO ....\$860.00             International preliminary examination fee paid to USPTO (37 CFR1.482) .....\$690.00             No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$710.00             Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000.00             International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00   <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div>	<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>																
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$130.00																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 10%;">Number Extra</th> <th style="width: 10%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">- 20 =</td> <td></td> <td>X \$ 18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td>X \$ 80.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s)(if applicable)</td> <td style="text-align: center;">+ \$270.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	- 20 =		X \$ 18.00	Independent Claims	- 3 =		X \$ 80.00	Multiple dependent claim(s)(if applicable)			+ \$270.00		
Claims	Number Filed	Number Extra	Rate															
Total Claims	- 20 =		X \$ 18.00															
Independent Claims	- 3 =		X \$ 80.00															
Multiple dependent claim(s)(if applicable)			+ \$270.00															
<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$130.00																	
Reduction by 1/2 for filing by small entity, if applicable.	-																	
<b>SUBTOTAL =</b>	\$130.00																	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).	\$																	
<b>TOTAL NATIONAL FEE =</b>	\$130.00																	
	Amount to be refunded \$																	
	Charged \$																	

a. ☒ Check No. 120377 in the amount of \$130.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
 OLIFF & BERRIDGE, PLC  
 P.O. Box 19928  
 Alexandria, Virginia 22320

NAME: William P. Berridg  
 REGISTRATION NUMBER: 30,024

Date: June 27, 2001

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

PCT Trans. Ltr. & Ck 120377 \$130, Decl., Supp. Pre. Amend., Asgn. Trans. Ltr. & Ck  
120378 \$40, Assign.

**Name of Applicant:** Michel GOGUELIN et al.

**Serial No.:** 09/857,143

**Atty. File No.:** 109575

**Title (New Cases):**

**Sender's Initials:** WPB/cmm



2/13

**PATENT OFFICE DATE STAMP**

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Michel GOGUELIN et al.

Application No.: 09/857,143

Docket No.: 109575

Filed: June 27, 2001

For: UNCOATED PAPER COMPRISING A PSUEDO-WATERMARK AND METHOD  
FOR MAKING SAME

**REQUEST FOR CORRECTION OF PALM RECORDS**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,



William P. Berridge  
Registration No. 30,024

Thomas J. Pardini  
Registration No. 30,411

WPB:TJP/cmm

Date: September 17, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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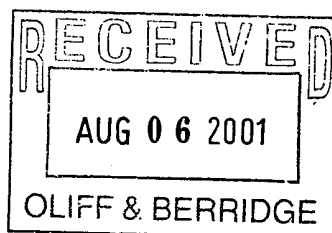


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/857,143	06/27/2001	1774	990	109575		19	1

Oliff & Berridge  
PO Box 19928  
Alexandria, VA 22320



CONFIRMATION NO. 9250

## FILING RECEIPT



\*OC000000006372701\*

Date Mailed: 08/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michel Goguelin, Besse Sur Bray, FRANCE;  
Henri Rosset, Le Pin, FRANCE;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/FR99/02947 11/29/1999

## Foreign Applications

FRANCE 98 15183 12/01/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Uncoated paper comprising a <sup>pseudo</sup>watermark and method for making same

## Preliminary Class

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